Brief Communication

Amygdala Responses to Fearful and Happy Facial Expressions under Conditions of Binocular Suppression

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The human amygdala plays a crucial role in processing affective information conveyed by sensory stimuli. Facial expressions of fear and anger, which both signal potential threat to an observer, result in significant increases in amygdala activity, even when the faces are unattended or presented briefly and masked. It has been suggested that afferent signals from the retina travel to the amygdala via separate cortical and subcortical pathways, with the subcortical pathway underlying unconscious processing. Here we exploited the phenomenon of binocular rivalry to induce complete suppression of affective face stimuli presented to one eye. Twelve participants viewed brief, rivalrous visual displays in which a fearful, happy, or neutral face was presented to one eye while a house was presented simultaneously to the other. We used functional magnetic resonance imaging to study activation in the amygdala and extrastriate visual areas for consciously perceived versus suppressed face and house stimuli. Activation within the fusiform and parahippocampal gyri increased significantly for perceived versus suppressed faces and houses, respectively. Amygdala activation increased bilaterally in response to fearful versus neutral faces, regardless of whether the face was perceived consciously or suppressed because of binocular rivalry. Amygdala activity also increased significantly for happy versus neutral faces, but only when the face was suppressed. This activation pattern suggests that the amygdala has a limited capacity to differentiate between specific facial expressions when it must rely on information received via a subcortical route. We suggest that this limited capacity reflects a tradeoff between specificity and speed of processing.

Key words: amygdala; binocular rivalry; emotion; faces; fMRI; unconscious perception

Introduction

The phenomenon of binocular rivalry occurs when different visual images are presented to corresponding regions of the two eyes (Wheatstone, 1838; von Helmholtz, 1867). Under such conditions, one of the two images dominates in perception at any given moment, while the other image is suppressed from awareness. With prolonged viewing, each image undergoes a period of dominance, followed by a period of suppression. Recent functional neuroimaging studies have shown reciprocal activation in primary visual cortex with oscillations of eye dominance, supporting the hypothesis that binocular rivalry arises from inhibition between monocular channels in the primary visual cortex (Polonsky et al., 2000; Tong and Engel, 2001). Category-specific extrastriate visual areas, such as the fusiform face area (Kanwisher et al., 1997; Haxby et al., 2000) and the parahippocampal place area (Epstein et al., 1999), are active under conditions of binocular rivalry only when the appropriate stimulus (face or house, respectively) is currently dominant in perception and not when it is suppressed (Tong et al., 1998). Here we used functional magnetic resonance imaging (fMRI) to investigate patterns of brain activity associated with rivalrous face and house stimuli in which the faces conveyed a fearful, happy, or neutral expression.

The amygdala plays a key role in processing threat-related stimuli, particularly as conveyed by facial expressions of fear and anger (Adolphs et al., 1994; Breiter et al., 1996; Calder et al., 1996; Adolphs et al., 1994; Breiter et al., 1996; Calder et al., 1996; Morris et al., 1996). It has been suggested that the amygdala receives visual inputs via two main pathways: a subcortical pathway that conveys crude but rapid signals before awareness and facilitates early detection of threat, and a geniculostriate pathway that conveys detailed but slower information, allowing fine-grained analysis of the visual input (LeDoux, 2000). Several studies have shown increased amygdala activity in response to threatening facial expressions under conditions of reduced attention (Vuilleumier et al., 2001; Anderson et al., 2003) or when faces are presented briefly and masked from awareness (Morris et al., 1998; Whalen et al., 1998); these conditions are likely to favor inputs from the rapid, subcortical pathway (Vuilleumier et al., 2003).

Here we examined amygdala responses to facial expressions under conditions of binocular suppression. Although the amygdala has been viewed as an early “threat detector” (LeDoux, 2000), inputs to this structure via the fast subcortical pathway may permit only limited differentiation of affective valence.

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Materials and Methods

Participants

Twelve individuals (six males, six females; mean age, 28 years; SD, 3.72) gave written, informed consent to participate, according to the guidelines of the Human Research Ethics Committee at the University of Melbourne. All participants were right-handed, as assessed by the Edinburgh Handedness Inventory; all were classified as neurologically normal by medical review, and all had normal or corrected-to-normal visual acuity.

Materials

Visual stimuli were presented as dichoptic displays on a uniform gray background. Face photographs of six individuals (three males, three females), each displaying a happy, fearful, or neutral expression, were selected from a standardized set of stimuli (Ekman and Friesen, 1975). Photographs of six houses were selected to match as closely as possible the overall area of the faces, with as few extraneous details as possible. All stimuli were cropped using Photoshop 5.5 (Adobe Systems, San Jose, CA) to fit within a rectangle subtending 2.2° × 2.9°. Mean luminance and contrast were matched for all stimuli across both categories. Color filters were matched for all stimuli (Tong et al., 1998) correlated brain activity with participants’ affective valence.

Experimental paradigm

CA) to fit within a rectangle subtending 2.2° × 2.9°. Mean luminance and contrast were matched for all stimuli across both categories. Color filters were matched for all stimuli (Tong et al., 1998) correlated brain activity with participants’ affective valence.

Each trial began with the black and white fixation stimulus appearing alone for 1000 msec in the center of the display, followed by a face–house composite that appeared for 500 msec. The fixation and face–house composites alternated in this way throughout each run (Fig. 1A). Experimental epochs within each run contained 14 face–house composites. Within each epoch, the valence of the facial expression remained constant (fearful, happy, or neutral), but the identity could change. Each run consisted of 20 alternating epochs of fearful and neutral face–house composites, or 20 alternating epochs of happy and neutral face–house composites. Five rest epochs (with displays consisting of the fixation stimulus alone) were presented within each run, counterbalanced to ensure equal presentation before and after each experimental epoch. Thus, there were 25 epochs within each of the two runs (fear–neutral and happy–neutral), with each epoch lasting 21.25 sec and making up a total of 525 sec per run.

One-half the participants wore spectacles with the red filter over the left eye and the green filter over the right eye, and one-half wore spectacles with the reverse configuration. To reduce the likelihood of mixed perceptions of the two rivaling stimuli, all testing was performed in a dimly illuminated room (O’Shea et al., 1994). One way to influence the dominant percept under conditions of binocular rivalry is to vary the luminance or contrast of the two images (Blake, 2001). In the present study, we exploited a physiological property of the retina to ensure that either the red or green image would always dominate during the initial period of presentation of the face–house composites. Humans with normal color vision have an unequal number of S (blue), M (green), and L (red) cones in the retina (Roorda and Williams, 1999), resulting in a subtle bias in sensitivity to particular wavelengths in the visible spectrum. When the images were displayed to each eye past through a red or green filter, this bias causes one of the images initially to dominate over the other.

Pilot testing revealed that, when the face–house composites were displayed for <1 sec, participants reliably perceived only one of the two images (face or house) and that the dominant image (face or house) depended on its color. For example, participant 5, a 29-year-old female, consistently perceived only the red stimulus (i.e., the image presented to the eye with the green filter) over the first 1–2 sec of presentation. Crucially, this pattern was highly consistent for every individual observer and held regardless of whether the image was a face or a house. Nine of the 12 participants perceived only the green stimulus when the face–house composites were presented for <1 sec, whereas the remaining three participants perceived only the red stimulus. For rivalrous displays lasting >1 sec, therefore, we could effectively control which of the two images within a composite would be perceived and which would be suppressed by changing the colors of the image types within each composite according to each participant’s own bias (Fig. 1B–D).

To verify the alternating pattern of dominance and suppression of each image type across successive epochs, we had participants report repetitions of any image in a simple one-back task. Participants were asked to indicate via a button-press when they saw two successive faces or houses that were identical. Within each epoch, at least one such repetition occurred for each image type (mean, 1.6 repetitions per epoch for each image type; total repetitions, 64 per run). For the rivalrous displays used here, we expected detection of repeated images in the one-back task to be high for epochs in which repetitions occurred in the dominant image and low for epochs in which repetitions occurred in the suppressed image.

Functional imaging and analysis

Data acquisition. Sagittal images were acquired on a 3T GE Signa MRI scanner. Functional MRI runs were acquired using a gradient-echo echoplanar [echo planar imaging (EPI)] sequence (repetition time, 3 sec; echo time, 40 msec; 128 × 128 matrix; 240 mm field of view; 21 slices of 4-mm-thick with 1.5 mm spacing). Before the first run, a T1-weighted sequence (spin-echo; 256 × 192 matrix; 21 slices of 4-mm-thick with 1.5 mm spacing) was performed to obtain anatomical detail in the same slice planes used for fMRI. A high-resolution T1-weighted sequence was also acquired at the end of each subject’s session (inversion recovery prepared, spoiled gradient refocused gradient echo; 1.5 × 1.5 mm in-plane; 1.5-mm-thick slices).

Data analysis. Pre-processing and data analysis were performed using SPM2 (Wellcome Department of Imaging Neuroscience; http://www.fil-
The first four volumes of each run were removed automatically before analysis. Functional data were re-aligned within scanning runs to correct for head motion using a set of six rigid body transformations determined for each image. Each functional run was spatially normalized to the EPI template supplied with SPM, beginning with a local optimization of the 12 parameters of an affine transformation. These images were then smoothed with an 8 mm Gaussian filter. The blood oxygen level-dependent (BOLD) signal was analyzed within each run using a high-pass frequency cutoff (cutoff, 144 sec). Autocorrelations between scans and epochs were modeled by a standard hemodynamic response function at each voxel. Parameter estimates were obtained for each condition and participant to allow second-level random effects analysis of between-participant variability. One-way ANOVAs were then performed on the statistical maps obtained from predefined cortical regions of interest, using uncorrected $p$ values for all comparisons.

**Results**

**Behavioral data**

Responses in the one-back task were analyzed separately for epochs in which a particular image type (face or house) was dominant and epochs in which an image was suppressed (as determined by the criteria outlined in Materials and Methods). Overall, participants were very accurate in detecting repetitions of faces when face images were dominant and in detecting repetitions of houses when house stimuli were dominant (mean, 86%; SE, 3.3; range, 57–97%). Conversely, only 1 of the 12 participants ever detected a repetition of an image that should have been suppressed, and this occurred only once within a single run. For all of the remaining runs, across all participants, repetitions of images that should have been suppressed were never detected, thus verifying objectively the effectiveness of our rivalrous displays.

**Imaging data**

**Category-specific extrastriate areas**

Following from Tong et al. (1998), we focused our analyses on the fusiform gyrus and parahippocampal gyrus to determine whether increased activation in these areas corresponded with epochs in which face and house images were perceived. The fusiform gyrus and parahippocampal gyrus were independently defined in each hemisphere using WFU_PickAtlas (Maldjian et al., 2003).

Examination of areas of greater activity during the face perceived–house suppressed epochs compared with the face suppressed–house perceived epochs, collapsed across emotional and neutral facial expressions, revealed significant activation bilaterally in the fusiform gyrus in both the fear–neutral and happy–neutral runs (fear–neutral: left peak $xyz$, $-28$ $-44$ $-18$, $t = 5.86$, $p < 0.001$; right peak $xyz$, $32$ $-50$ $-20$, $t = 6.29$, $p < 0.001$; happy–neutral: left peak $xyz$, $-26$ $-52$ $-12$, $t = 3.51$, $p < 0.001$; right peak $xyz$, $32$ $-50$ $-18$, $t = 4.36$, $p < 0.001$) (Fig. 2C). Additional $t$ tests, examining areas specific to house perception, during the face suppressed–house perceived epochs compared with the face perceived–house suppressed epochs, again collapsed across emotional and neutral facial expressions, revealed significant bilateral activation in the parahippocampal gyrus in both the fear–neutral and happy–neutral runs (fear–neutral: left peak $xyz$, $-28$ $-16$ $-22$, $t = 2.61$, $p < 0.01$; right peak $xyz$, $30$ $-12$ $-20$, $t = 2.74$, $p < 0.005$; happy–neutral: left peak $xyz$, $-28$ $0$ $-30$, $t = 3.39$, $p < 0.001$; right peak $xyz$, $30$ $-16$ $-16$, $t = 4.63$, $p < 0.001$) (Fig. 2D). Analysis of differences in activation be-

**Figure 1.** Examples of the visual stimuli used to induce binocular rivalry (not to scale). A, Face–house composites in the fearful–neutral face condition. Participants performed a one-back task throughout each run, indicating any repetition of a face or house image via an optic-fiber response box. B, Face–house composites incorporating face images with neutral and happy expressions. C, Illustration of an individual participant’s perception throughout each run, indicating any repetition of a face or house image via an optic-fiber response box. A, Face–house composites in the fearful–neutral face condition. Participants performed a one-back task throughout each run, indicating any repetition of a face or house image via an optic-fiber response box. B, Face–house composites incorporating face images with neutral and happy expressions. C, Illustration of an individual participant’s perception throughout each run, indicating any repetition of a face or house image via an optic-fiber response box.
The principal aim of our study was to examine activity within the amygdala and ventral extrastriate areas in response to fearful and happy facial expressions presented under conditions of binocular suppression. We used spatially overlaid, composite face–house stimuli in which the face and house images were displayed exclu-
when the preferred image was suppressed. These findings are consistent with several previous reports of face-specific activity in the fusiform gyrus and place-specific activity in the parahippocampal place area (Kanwisher et al., 1997; Haxby et al., 2000; Tong et al., 2000; Tong and Engel, 2001). The present results also replicate the findings of Tong et al. (1998), which suggest that activity within the fusiform and parahippocampal gyri is reduced or absent during periods of suppression during binocular rivalry.

Most importantly, we found that activity in the amygdaloid complex (here termed the amygdala) increased significantly for fearful and happy faces relative to neutral faces, when the faces were suppressed. Under conditions of binocular rivalry, therefore, the amygdala continues to encode affective information from face stimuli that are not consciously perceived. This finding is consistent with the view that the amygdala receives inputs via two main pathways: a rapid, subcortical pathway that conveys crude information enabling efficient processing of affective stimuli in the absence of attention and awareness, and a slower, geniculostrate pathway that conveys more complex visual information, allowing consciously mediated analysis of affective valence (Morris et al., 1998; Whalen et al., 1998; LeDoux, 2000; Vuilleumier et al., 2003). During binocular rivalry, image suppression has been suggested to arise from inhibition of monocular cells in the primary visual cortex (Polonsky et al., 2000; Tong, 2001). Because information conveyed via the cortical pathway would not be represented in the primary visual cortex or extrastriate areas, it seems likely that the increased amygdala activity we observed for suppressed affective faces is driven primarily or exclusively by inputs conveyed via the subcortical pathway.

The proposed dissociation between cortical and subcortical inputs to the amygdala is based on converging lines of evidence. A recent behavioral and anatomical study in the rat revealed two subcortical and two cortical routes carrying visual information to the amygdala (Shi and Davis, 2001), consistent with a large body of anatomical and physiological data (LeDoux, 2000; Sah et al., 2003). In humans, imaging studies of patients with occipital lesions have demonstrated increased activity in the amygdala and extrastriate cortex during presentation of visual stimuli in the “blind” field (Baseler et al., 1999; Morris et al., 2001). In addition, increases in amygdala activation to the presentation of masked (unseen) facial expressions has been demonstrated in neurologically normal individuals (Morris et al., 1998, 2001; Whalen et al., 1998; Ohman, 2002). Although the current study is unable to determine which subcortical route might convey affective information, the significant amygdala response observed during cortical suppression of facial expressions suggests that a subcortical pathway is likely to be involved.

Our findings reveal a degree of specificity in amygdala responses to explicitly perceived threatening versus nonthreatening expressions. We observed an increase in right amygdala activity for consciously perceived fearful faces but no such increase in the left or right amygdala for consciously perceived happy faces, consistent with previous reports (Adolphs et al., 1994, 1999; Calder et al., 1996; Morris et al., 1996; Blair et al., 1999). In contrast, there was a comparative lack of specificity in amygdala responses to threatening versus nonthreatening expressions for faces that were not consciously perceived because of binocular suppression. Amygdala activity increased bilaterally for suppressed fearful faces and also increased on the right for suppressed happy faces.

Together, the pattern of amygdala responses we observed under conditions of binocular rivalry are broadly consistent with those of Anderson et al. (2003), who examined attentional modulation of amygdala responses to facial expressions of fear and
disgust (the latter being a response to threat related to potential physical contamination). They found no reduction in amygdala activity for unattended versus attended faces with an expression of fear but a significant increase in amygdala activity for unattended versus attended expressions of disgust. Their results might imply that amygdala processing is specific to fearful expressions under conditions of focused attention and more broadly tuned to various threat-related expressions (including disgust) under conditions of reduced attention. The present findings significantly extend this notion by showing that, when faces are completely suppressed because of binocular rivalry, even nonthreatening (happy) expressions may elicit significant increases in amygdala activity.

Although we observed some overlap in the amygdala regions responsive to suppressed fearful and happy faces, distinct peaks of activity were apparent for the two emotions. One interpretation of these different loci of maximum activity is that the human amygdaloid complex comprises distinct “affective nodes” that are differentially engaged depending on the affective valence of a stimulus. Single-unit recordings in non-human primates have shown that rewarding and nonrewarding associations are represented by different populations of neurons in the amygdala (Ono and Nishijo, 1992; Wilson and Rolls, 1993). In humans, different activity peaks have been demonstrated to facial expressions of fear and surprise (Kim et al., 2003) and to expressions of fear and disgust (Anderson et al., 2003). Together, these findings are consistent with the idea that the amygdala consists of distinct, valence-dependent affective nodes. A challenge for future studies will be to develop techniques that can uniquely resolve neural activity within these putative nodes.

We suggest that a subregion of the amygdala responds nonselectively to a range of facial expressions, both threatening and nonthreatening, when visual inputs are restricted to the subcortical route, as occurs under conditions of binocular suppression. This hypothesis is consistent with evidence that patients with unilateral occipital damage show increased amygdala activity in response to both fearful and happy faces presented in their blind field (Morris et al., 2001). It is also consistent with findings from a recent study of parietal extinction, in which extinguished happy and disgusted expressions independently of attention (Vuilleumier et al., 2001; Anderson et al., 2003) and awareness (Morris et al., 1998, 2001; Whalen et al., 1998; Ohman, 2002). We showed that, under conditions of binocular suppression, when visual inputs are restricted to noncortical pathways, the amygdala responds nonspecifically to both threatening and nonthreatening facial expressions, reflecting a tradeoff between specificity and speed of processing.

References